

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
31 July 2003 (31.07.2003)

PCT

(10) International Publication Number
WO 03/062337 A1

(51) International Patent Classification⁷: C09G 1/02,
C09K 3/14, H01L 21/321

(21) International Application Number: PCT/US03/02109

(22) International Filing Date: 24 January 2003 (24.01.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
10/056,342 24 January 2002 (24.01.2002) US

(71) Applicant: **RODEL HOLDINGS, INC.** [US/US]; 1105
North Market Street, Suite 1300, Wilmington, DE 19899
(US).

(72) Inventors: **THOMAS, Terence, M.**; 209 Cullen Way,
Newark, DE 19711 (US). **DE NARDI, Stephan**; 61 Glen-
coe Drive, Newark, DE 19702 (US). **GODFREY, Wade**;
132 Hess Mill Road, Landenberg, PA 19350 (US).

(74) Agent: **BIEDERMAN, Blake, T.**; Rodel Holdings, Inc.,
1105 North Market Street, Suite 1300, Wilmington, DE
19899 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,
SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU,
ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI,
SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN,
GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.



WO 03/062337 A1

(54) Title: TUNGSTEN POLISHING SOLUTION

(57) Abstract: A tungsten CMP solution for planarizing semiconductor wafers includes a primary oxidizer having a sufficient oxidation potential for oxidizing tungsten metal to tungsten oxide; and the tungsten CMP solution has a static etch rate for removing the tungsten metal. A secondary oxidizer lowers the static etch rate of the tungsten CMP solution. The secondary oxidizer is selected from the group consisting of bromates and chlorates. Optionally the tungsten CMP contains 0 to 50 weight percent abrasive particles; and it contains a balance of water and incidental impurities.

TUNGSTEN POLISHING SOLUTION

BACKGROUND OF THE INVENTION

5 The invention relates to tungsten chemical mechanical polishing and in particular to tungsten CMP solutions having a controlled static etch rate. Tungsten CMP slurries rely upon both tungsten etch and mechanical abrasion to planarize the tungsten's surface. Competing chemical reactions take place during tungsten CMP. The first of these is an oxidation reaction. During oxidation, the oxidizing agent acts to form a tungsten oxide
10 with the surface of the substrate. The second reaction is the complexing reaction. In this reaction, the complexing agent actively dissolves the oxide film growing on the substrate from the oxidation reaction.

 Because of tungsten's high stability, tungsten slurries must typically rely upon powerful oxidizers. In view of this, strong oxidizers such as halogen oxides have been
15 used or proposed as oxidation agents for tungsten polishing slurries. For example, Streinz et al., in US Pat. No. 5,993,686, disclose oxidizing metal salts, oxidizing metal complexes, nonmetallic oxidizing acids such as peracetic and periodic acids, iron salts such as nitrates, sulfates, EDTA, citrates, potassium ferricyanide, hydrogen peroxide, potassium dichromate, potassium iodate, potassium bromate, vanadium trioxide and the like,
20 aluminum salts, sodium salts, potassium salts, ammonium salts, quaternary ammonium salts, phosphonium salts, or other cationic salts of peroxides, chlorates, perchlorates, nitrates, permanganates, persulfates and mixtures thereof. Similarly, Mravic et al., in WO 99/67056, disclose the use of hydrogen peroxide, potassium ferricyanide, potassium dichromate, potassium iodate, potassium bromate, vanadium trioxide, hypochlorous acid,
25 sodium hypochlorite, potassium hypochlorite, calcium hypochlorite, magnesium hypochlorite, ferric nitrate and mixtures thereof. These oxidizers such as, halo-oxides chemically react with the substrate surface to form a metal oxide. Then slurry abrasive from the CMP process removes the inhibited tungsten oxide from the surface of the substrate. In this manner, the CMP process removes material from the substrate and
30 planarizes its surface.

 Iodate-containing slurries used in tungsten CMP have the ability to inhibit the static etching process. Unfortunately, although iodate-based slurries succeed in inhibiting

static etching, they also have the following undesirable properties: 1) requiring aggressive alumina particles to remove the tungsten oxide that can result in scratching; 2) requiring appropriate equipment for iodine removal in accordance with established environmental regulations; and 3) contact of the slurry with polishing equipment results in the equipment
5 turning an undesirable brownish-yellow color.

In US Pat. No. 5,958,288, Mueller et al. conclude that iron-containing oxidizers, such as ferric nitrate, can act as a catalyst to promote tungsten removal when iron is present in an amount less than 3,000 ppm. The problem with these slurries is that tungsten static etching is a common side effect. After the CMP process, the metal polishing slurry
10 that remains on the surface of the substrate continues to etch the substrate. Sometimes, static etch has beneficial consequences for some semiconductor integration schemes. But in most instances, minimizing static etch improves semiconductor performance. In addition, static etch may also contribute to surface defects such as pitting and key-holing. These surface defects significantly affect the final properties of the semiconductor device
15 and hamper its usefulness.

Grumbine et al., in US 6,083,419, disclose the use of nitrogen containing oxidizer to control static etch. Unfortunately, as far as known, these compounds have only a limited impact upon static etch rates. At present there is an ongoing need for a tungsten polishing slurry that has both a rapid tungsten removal rate and limited static etch. In
20 addition, there is a need for a polishing slurry that also eliminates the scratching, environmental and cosmetic issues associated with iodate-containing slurries.

STATEMENT OF THE INVENTION

The invention provides a tungsten CMP solution for planarizing semiconductor
25 wafers comprising a primary oxidizer having a sufficient oxidation potential for oxidizing tungsten metal to tungsten oxide and the tungsten CMP solution has a static etch rate for removing the tungsten metal; a secondary oxidizer lowers the static etch rate of the tungsten CMP solution, the secondary oxidizer is selected from the group consisting of bromates and chlorates; 0 to 50 weight percent abrasive particles; and a balance of water
30 and incidental impurities.

Alternatively, the invention provides a tungsten CMP solution for planarizing semiconductor wafers comprising an iron-containing primary oxidizer having a sufficient

oxidation potential for oxidizing tungsten metal to tungsten oxide and the tungsten CMP solution having a static etch rate for removing the tungsten metal; a secondary oxidizer for polishing method for lowering the static etch rate of the tungsten CMP solution, the secondary oxidizer being selected from the group consisting of bromates, chlorates and iodates; 0 to 50 weight percent abrasive particles; and a balance of water and incidental impurities.

DETAILED DESCRIPTION

It has been discovered that secondary oxidizers such as bromate, chlorate and iodate react to form a film that covers tungsten and forms an effective inhibitor for tungsten polishing solutions and slurries. For purposes of the specification, polishing solution refers to aqueous polishing solutions that may or may not include abrasives. If the polishing solution includes an abrasive, then the polishing solution also is a polishing slurry.

The polishing solution relies upon a strong primary oxidizer having a sufficient oxidation potential for oxidizing tungsten metal to tungsten oxide. Most advantageously, the primary oxidizer is selected from the group consisting of hydrogen peroxide, ferrocyanides, dichromates, vanadium trioxide, hypochlorous acid, hypochlorites, nitrates, persulfates, permanganates, hydroxides and combinations thereof. Additional specific examples include, potassium ferrocyanide, sodium ferrocyanide, potassium dichromate, sodium dichromate, calcium hypochlorite, potassium hypochlorite, sodium hypochlorite, potassium nitrate, sodium nitrate, potassium permanganate, sodium permanganate and combinations thereof. Often mixtures of these primary oxidizers can further enhance removal rates. The polishing solution typically contains a total of 0.1 to 12 weight percent primary oxidizer—for purposes of this specification, all concentrations are expressed in weight percent unless specifically reference otherwise. When adding unstable primary oxidizers to the polishing solution, such as hydrogen peroxide, it usually is necessary to add these at or near the point of use. Advantageously, the polishing solution typically contains a total of 0.5 to 10 weight percent primary oxidizer. Most advantageously, the polishing solution typically contains a total of 1 to 7.5 weight percent primary oxidizer.

Advantageously, the primary oxidizer contains either hydrogen peroxide or an iron-containing oxidizer. Most advantageously, the primary oxidizer is an iron-containing

oxidizer. Iron-containing oxidizers provide dramatic removal rates for tungsten even when present in small concentrations. Advantageously, an addition of 0.0005 to 10 weight percent ferric nitrate increases tungsten removal rate. Most advantageously, the slurry contains 0.001 to 8 weight percent ferric nitrate. Furthermore, the inhibitor film formed is even effective for polishing solutions containing 2 to 7.5 weight percent ferric nitrate

A secondary oxidizer bonds with tungsten to form the static etch inhibiting film. The inhibiting compound forms a surface film that blocks the dissolution of the metallic oxide on the surface of the substrate. This barrier is effective against the most aggressive oxidizers required to planarize tungsten. In addition to the forming the barrier film, the secondary oxidizer does have sufficient oxidizing potential to oxidize tungsten. The secondary oxidizer however typically only contributes a minor percentage of the total tungsten oxidation. But for example with some high ferric nitrate-containing compositions, the higher secondary oxidizer concentrations can contribute a significant percentage of the tungsten oxidation.

For most primary oxidizers, the secondary oxidizer is bromate (BrO_3^-), chlorate (ClO_3^-) or a mixture thereof. But for solutions having iron-containing primary oxidizers, the secondary oxidizer may be a bromate, chlorate, iodate or mixtures thereof. Unfortunately, iodate-containing slurries normally have the disadvantages of costly environmental disposal and the cosmetic discoloration. However, in the case of high concentrations of the dark-red-orange color associated with ferric nitrate solutions, this is less of an issue. Advantageously, solid powder compounds provide an effective manner for adding bromates, chlorates and iodates to a polishing solution or slurry. Specific examples of these compounds include alkali metals such as ammonium, potassium, sodium, alkaline earth metals such as magnesium or other salts. Alkaline halogenated compounds are readily available commercially, or may be synthesized. Advantageously, the solid powder compound is potassium bromate (KBrO_3), potassium chlorate (KClO_3), potassium iodate (KIO_3) or mixtures thereof. In view of environmental concerns, these potassium compounds are preferred over sodium or alkaline earth metals. In addition, it is possible to add chlorine, bromine or iodine as elemental constituents or as other compounds to the polishing solution. The strong primary oxidizer then oxidizes these secondary oxidizers into bromates, chlorates or iodates, respectively with rapid kinetics—since the primary oxidizers lack the oxidation potential to oxidize fluorine, these kinetics

do not apply to fluorine. In the same fashion, perchlorate, perbromate and periodate form the respective chlorate, bromate and iodate during tungsten oxidation.

Advantageously, the polishing solution has a static etch removal rate of less than 400 angstroms/minute and a removal rate of at least 3000 angstroms/minute. Most advantageously, the polishing solution has a static etch removal rate of less than 200 angstroms/minute and a removal rate of at least 4000 angstroms/minute. The amount of secondary oxidizer necessary to control static depends upon the type of polishing solution and the particular secondary oxidizer. In most instances, the concentration of secondary oxidizer in the metal polishing solution does not exceed its maximum solubility. In some cases, exceeding this concentration can leave solid, undissolved particles of the secondary oxidizer in the polishing solution. Undissolved particles of the secondary oxidizer could interfere with the polishing and etching abilities of the polishing solution.

The secondary oxidizer concentration can range from a small but effective concentration up to the solubility limit in the particular polishing solution. The solubility limit of the secondary oxidizer depends on the polishing solution's chemistry. The solubility limit can range from 1.8 wt % to 22 wt % concentration in the polishing solution. Advantageously, the secondary oxidizer concentration ranges from 0.0001 wt % to 7.5 weight percent. Most advantageously, the secondary oxidizer ranges from 0.001 wt % to 5 weight percent. When the polishing solution contains relatively large amounts of ferric nitrate (2 to 7.5 weight percent), the polishing solution advantageously contains 0.1 to 5 weight percent secondary oxidizer.

Optionally, the polishing solution contains 0 to 50 weight percent abrasive particles. Advantageously, the polishing solution contains 0 to 30 weight percent abrasive particles. Most advantageously, the polishing solution contains 0 to 25 weight percent abrasive particles. The abrasive particles, when present, mechanically remove the tungsten oxide layer. Examples of acceptable abrasive particles include the following: alumina, ceria, diamond, iron oxide, silica, silicon carbide, silicon nitride, titanium oxide or a combination thereof. Advantageously, the abrasive particles are alumina or silica. Most advantageously, the abrasive particles are silica. In addition, the abrasive particles advantageously have an average particle size of less than 250 nm. Most advantageously, the average particle size is less than 150 nm.

If the polishing solution is free of abrasive particles, then it may be advantageous to employ a fixed abrasive pad. Most advantageously, abrasive free solutions simply employ a polymeric pad in combination with a more aggressive combination of primary oxidizers.

5 In addition, the polishing solution optionally contains a complexing agent for assisting with the removal of the tungsten. If present, the complexing agent is typically a carboxylic acid that removes the tungsten oxide layer from the substrate. For example, acceptable complexing agents include the following: malonic acid, lactic acid, sulfosalicylic acid ("SSA"), formic acid, acetic acid, propanoic acid, butanoic acid, 10 pentanoic acid, hexanoic acid, heptanoic acid, octanoic acid, nonanoic acid, and mixtures thereof. Typical polishing solution may contain 0 to 15 weight percent complexing agent. Most advantageously, the polishing solution contains 0.5 to 5 weight percent complexing agent. For certain circumstances, such as polishing solutions that do not contain hydrogen peroxide, the complexing agent may not unnecessary.

15 The aqueous polishing solutions have a balance of water and incidental impurities. Most advantageously, the water is deionized water. Furthermore, the aqueous polishing solutions can operate with either an acidic or basic pH. Advantageously, the polishing solution operates with an acidic pH. Most advantageously, the polishing solution operates with a pH of less 6. The pH of the solution is measured by conventional methods after 20 mixing the secondary oxidizer into the polishing solution; and it can be adjusted by adding a base, such as ammonium hydroxide, or a mineral acid, such as nitric acid. Nitric acid further assists removal rate when used in combination with ferric nitrate.

EXAMPLE

25 Potassium chlorate was added in differing weight percentages to a tungsten polishing slurry. Table 1 provides the composition of the tungsten polishing slurry.

30

TABLE I

Generic Slurry Composition

In Water

Hydrogen Peroxide	4 wt %
Ferric Nitrate	0.01 wt %
Malonic Acid	0.07 wt %
Lactic Acid	1.5 wt %
SSA	0.01 wt %

5

The pH of the resultant slurry was adjusted to about 3 with ammonium hydroxide. The resultant slurry was then used to etch and polish standard tungsten substrates via CMP. Substrate thickness was measured over time. The change in thickness was plotted against the time of etching and the slope of the graph was measured to determine the etching rate. The static etch rate data are shown below in Table II.

10

TABLE II

Etch Rate of Tungsten

Metal Polishing Slurry at pH = 3

Potassium Chlorate Etch Inhibitor

15

KClO ₃ wt. %	Static Etch Rate (angstroms/min.)
0	340
0.01	200
0.1	126
1	70

The data in Table II show that a 0.01% potassium chlorate slurry solution reduces the static etch removal rate of tungsten a significant amount. Furthermore, increasing the potassium chlorate further enhanced the static etch inhibiting ability of the solution--the

precise amount of potassium chlorate needed to reach a 200 angstrom/minute static etching rate is dependent on the particular slurry. For example, a particularly acidic slurry solution using KClO_3 may require a different concentration of secondary inhibitor to reduce the static etching rate below 200 angstrom/minute than a more basic slurry solution.

The addition of a secondary oxidizer selected from the group consisting of bromates, chlorates and iodates provides a tungsten polishing solution that has both a rapid tungsten removal rate and limited static etch. In addition, the polishing solution eliminates the scratching associated with conventional iodate-containing slurries. Finally, for polishing solutions containing bromates, chlorates or mixtures thereof, the polishing solution eliminates the environmental and cosmetic issues associated with iodate.

CLAIMS

1. A tungsten CMP solution for planarizing semiconductor wafers comprising:
a primary oxidizer having a sufficient oxidation potential for oxidizing tungsten metal to
tungsten oxide and the tungsten CMP solution having a static etch rate for
removing the tungsten metal;
a secondary oxidizer for lowering the static etch rate of the tungsten CMP solution, the
secondary oxidizer being selected from the group consisting of bromates and
chlorates;
0 to 50 weight percent abrasive particles; and
a balance of water and incidental impurities.
2. The tungsten CMP solution of claim 1 wherein the primary oxidizer is selected from
the group consisting of hydrogen peroxide, ferrocyanides, dichromates, vanadium trioxide,
hypochlorous acid, hypochlorites, nitrates, persulfates, permanganates, hydroxides and
mixtures thereof.
3. A tungsten CMP solution for planarizing semiconductor wafers comprising:
an iron-containing primary oxidizer having a sufficient oxidation potential for oxidizing
tungsten metal to tungsten oxide and the tungsten CMP solution having a static
etch rate for removing the tungsten metal;
a secondary oxidizer for polishing method for lowering the static etch rate of the tungsten
CMP solution, the secondary oxidizer being selected from the group consisting of
bromates, chlorates and iodates;
0 to 50 weight percent abrasive particles; and
a balance of water and incidental impurities.
4. The tungsten CMP solution of claim 3 wherein the secondary oxidizer is selected from
the group consisting of bromates and chlorates.
5. The tungsten CMP solution of claim 4 wherein the primary oxidizer includes iron
nitrate.

6. The tungsten CMP solution of claim 4 having by weight percent 0.1 to 12 total primary oxidizer, 0.0005 to 10 ferric nitrate, 0.0001 to 7.5 secondary oxidizer and 0 to 30 abrasive particles.
- 5 7. The tungsten CMP solution of claim 4 having by weight percent 0.5 to 10 total primary oxidizer, 0.001 to 8 ferric nitrate, 0.001 to 5 secondary oxidizer and 0 to 25 abrasive particles and a pH of less than 6.
8. The tungsten CMP solution of claim 7 including nitric acid and 0 to 15 complexing
10 agent.
9. The tungsten CMP solution of claim 8 having by weight percent 1 to 7.5 total primary oxidizer, 2 to 7.5 ferric nitrate and 0.1 to 5 secondary oxidizer.
- 15 10. A method of polishing a semiconductor wafer including the step of planarizing a wafer with the tungsten CMP solution of claim 1.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/02109

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C09G1/02 C09K3/14 H01L21/321

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 C09G C09K H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 844 290 A (CABOT CORP) 27 May 1998 (1998-05-27) page 2, line 7 - line 15 page 3, line 30 - line 40 page 4, line 19 - line 23 page 4, line 33 - line 42 page 4, line 57 - page 5, line 1 page 5, line 10 - line 38 ---	1-6, 10
X	US 6 083 419 A (GRUMBINE STEVEN K ET AL) 4 July 2000 (2000-07-04) cited in the application column 1, line 5 - line 13 column 2, line 24 - line 57 column 3, line 22 - line 31 column 4, line 46 - column 5, line 4 column 5, line 31 - line 55 --- -/--	1, 2, 10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

17 June 2003

Date of mailing of the international search report

02/07/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Matthijssen, J-J

INTERNATIONAL SEARCH REPORT

Internat Application No
PCT/US 03/02109

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP 0 811 666 A (CABOT CORP) 10 December 1997 (1997-12-10) cited in the application page 3, line 20 - line 22 page 3, line 41 - line 54 page 5, line 43 - line 47 -----</p>	1,3,8,10

INTERNATIONAL SEARCH REPORT

In relation on patent family members

Internat Application No

PCT/us 03/02109

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0844290	A	27-05-1998	US 5958288 A	28-09-1999
			US 5980775 A	09-11-1999
			US 6068787 A	30-05-2000
			AT 214417 T	15-03-2002
			AU 5460898 A	22-06-1998
			AU 5464298 A	22-06-1998
			DE 69710993 D1	18-04-2002
			DE 69710993 T2	28-11-2002
			EP 0844290 A1	27-05-1998
			ES 2174192 T3	01-11-2002
			JP 10265766 A	06-10-1998
			TW 396201 B	01-07-2000
			TW 442364 B	23-06-2001
			WO 9823697 A1	04-06-1998
			WO 9823408 A1	04-06-1998
			US 6015506 A	18-01-2000
US 6083419	A	04-07-2000	AU 8595498 A	16-02-1999
			CN 1272221 T	01-11-2000
			EP 0896042 A1	10-02-1999
			JP 11116948 A	27-04-1999
			US 6136711 A	24-10-2000
			WO 9905706 A1	04-02-1999
EP 0811666	A	10-12-1997	US 5993686 A	30-11-1999
			AU 3138597 A	05-01-1998
			EP 0811666 A2	10-12-1997
			JP 10067986 A	10-03-1998
			WO 9747030 A1	11-12-1997